

PTO-SB-08A (08-00)

Approved for use through 10-31-2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A-PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 4	Application Number	10/628,970
	Filing Date	July 28, 2003
	First Named Inventor	Frank JAO
	Group Art Unit	Not yet assigned
	Examiner Name	Not yet assigned
	Attorney Docket Number	AZ0017USANP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code* (if known)			
<i>PTA</i>	AA	6,559,293	B1	ALMARSSON et al.	05-06-2003	
	AB	2003/0072802	A1	CUTLER	04-17-2003	
	AC	6,210,712	B1	EDGREN et al.	04-03-2001	
	AD	6,174,547	B1	DONG et al.	01-16-2001	
	AE	5,938,654		WONG et al.	08-17-1999	
	AF	5,614,578		FERRARI et al.	03-25-1997	
	AG	5,413,672		HIROTSUJI et al.	05-09-1995	
	AH	5,391,381		WONG et al.	02-21-1995	
	AI	5,387,700		MARYANOFF et al.	02-07-1995	
	AJ	5,340,590		WONG et al.	08-23-1994	
	AK	5,324,280		WONG et al.	06-28-1994	
	AL	5,252,338		JAO et al.	10-12-1993	
	AM	5,190,765		JAO et al.	03-02-1993	
	AN	5,160,743		EDGREN et al.	11-03-1992	
	AO	5,156,850		WONG et al.	10-20-1992	
	AP	5,110,597		WONG et al.	05-05-1992	
	AQ	5,082,655		AGARWALA et al.	01-21-1992	
	AR	5,024,842		EDGREN et al.	06-18-1991	
	AS	5,023,088		WONG et al.	06-11-1991	
	AT	5,019,397		WONG et al.	05-28-1991	
	AU	5,006,346		EDGREN et al.	04-09-1991	

Examiner Signature <i>[Signature]</i>	Date Considered <i>1-3-2006</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 4

Application Number	10/628,970
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		Number	Kind Code ² (if known)			
PA	AV	4,961,932		THEEUWES	10-09-1990	
	AW	4,957,494		WONG et al.	09-18-1990	
	AX	4,940,465		THEEUWES et al.	07-10-1990	
	AY	4,931,285		EDGREN et al.	06-05-1990	
	AZ	4,915,949		WONG et al.	04-10-1990	
	BA	4,892,778		THEEUWES et al.	01-09-1990	
	BB	4,853,229		THEEUWES et al.	08-01-1989	
	BC	4,824,675		WONG et al.	04-25-1989	
	BD	4,681,583		URQUHART et al.	07-21-1987	
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	BF	4,519,801		EDGREN	05-28-1985	
	BG	4,513,006		MARYANOFF et al.	04-23-1985	
	BH	4,327,725		CORTESE et al.	05-04-1982	
	BI	4,207,893		MICHAELS	06-17-1980	
	BJ	4,160,020		AYER et al.	07-03-1979	
	BK	4,111,202		THEEUWES	09-05-1978	
	BL	4,111,201		THEEUWES	09-05-1978	
	BM	4,088,864		THEEUWES	05-09-1978	
	BN	4,077,407		THEEUWES et al.	03-07-1978	
	BO	4,008,719		THEEUWES et al.	02-22-1977	
	BP	4,002,173		MANNING et al.	01-11-1977	
MB	BQ	3,995,631		HIGUCHI	12-07-1976	

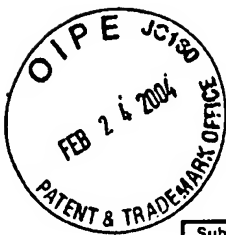
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		Number	Kind Code ² (if known)			
	BR	3,916,899		THEEUWES et al.	11-04-1975	
	BS	3,865,108		HARTOP	02-11-7195	
	BT	3,845,770		THEEUWES et al.	11-05-1974	
	BU	3,546,142		MICHAELS et al.	12-08-1970	
	BV	3,541,006		BIXLER et al.	11-17-1970	
	BW	3,541,005		STRATHMANN et al.	11-17-1970	
	BX	3,276,586		ROSEAN	10-04-1966	
	BY	3,173,876		JACKSON	03-16-1965	
	BZ	3,133,132		LOEB et al.	05-12-1964	
	CA	2,799,241		WURSTER	07-16-1957	

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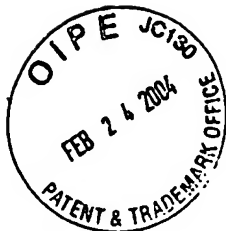
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		Office ³	Number ⁴	Kind Code ⁵				
	CB	WO	00/72841		Jeffrey Berlant	12-07-2000		
	CC	WO	00/48607	A	Tovama Farmaceutskih et al.	08-24-2000		
	CD	WO	00/25790	A	ALZA Corporation	05-11-2000		
	CE	WO	99/62496	A1	ALZA Corporation	12-09-1999		
	CF	WO	99/44581	A2	Ortho-McNeil Pharmaceutical, Inc.	09-10-1999		
	CH	WO	98/14168	A2	ALZA Corporation	04-09-1998		
	CI	WO	98/06380	A2	ALZA Corporation	02-19-1998		

Examiner Signature	Date Considered 1-3-2006
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Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 4

Application Number	10-628,970
Filing Date	July 28, 2003
First Named Inventor	Frank JAO
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	AZ0017USANP

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published	T ²
<i>RA</i>	CJ	Encyclopedia of Polymer Science and Technology, "Cellulose Esters, Organic," 3:325-354, Interscience Publishers, NY, 1964	
	CK	Klaus Florey Ed., Analytical Profiles of Drug Substances, 13:425, Academic Press, New York, 1984.	
	CN	BASF Technical Bulletin "PLURONIC® and PLURONIC® R Block Copolymer Surfactants" BASF Corporation, 1998-2003.	
	CO	BASF Technical Bulletin "TETRONIC® and TETRONIC® R Block Copolymer Surfactants" BASF Corporation, 1998-2003.	
	CQ	Scott & Roff, Handbook of Common Polymers, CRC Press., Cleveland Ohio, 1971.	
	CK	Larry Hixon, et al., "Sizing Materials by Crushing and Grinding", Chemical Engineering, 94-103, November 1990.	
	CS	Eugene L. Parrott, "Milling of Pharmaceutical Solids", Journal of Pharmaceutical Sciences, 61(6):813-829, 1974.	
	CT	Perry's Chemical Engineers Handbook, Perry, Green & Maloney Editors, et al, 6 th Ed., "Introduction to Screening and Wet Classification", pp. 21.13 to 21.19, 1984..	
	CU	Remington's Pharamaceutical Sciences, 18 th Ed, Ch 91, "Sustained-Release Drug Delivery Systems: Oral Dosage Forms" pp.1682-1685, 1990.	
	CV	Remington's Pharamaceutical Sciences, 17 th Ed, Ch. 89, "Powders," pp. 1585-1594, 1985.	
	CW	Physician's Desk Reference, Medical Economics-Thompson Healthcare, 56 th Ed., "TOPOMAX®," pp. 2590-2595 and "Dilantin-125"p. 2626, 2002.	
	CX	Giancarlo Santus & Richard W. Baker, "Osmotic drug delivery: a review of the patent literature," Journal of Controlled Release, 35:1-21, 1995.	
	CY	Dale E. Wurster, "Air-Suspension Technique of Coating Drug Particles", J. Am. Pharm. Assoc, 48(8):451-454, August 1959.	
<i>RA</i>	CY	Dale E. Wurster, "Preparation of Compressed Tablet Granulations by the Air-Suspension Technique II", J. Am. Pharm. Assoc, 49(2):82-84, February 1960.	

Examiner Signature	<i>RA</i>	Date Considered	1-3-2006
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~~02-24-2004~~ 02-24-2004

SUBMISSION UNDER MPEP 609 D Page 1 of 1	Application Number	10/628,970
	Filing Date	July 28, 2003
	First Named Inventor	Frank Jao et al.
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	AZ-17

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Name of Patentee or Applicant of Cited Document	U.S. Patent Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
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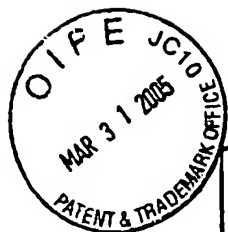
FOREIGN PATENT DOCUMENTS

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			Office ³	Number ⁴	Kind Code ⁵		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Not Prior Art PCT International Search Report, dated November 28, 2003, for PCT Intl. Appl. No. PCT/US03/23428	

Examiner Signature	<i>[Signature]</i>	Date Considered	1-3-2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Application Number	10/628,970
Filing Date	07/28/2003
First Named Inventor	Jao et al.
Group Art Unit	1732
Examiner Name	
Attorney Docket Number	AZ0017-USA-NP

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
PA		6,248,363		Patel et al.	06-19-2001	
		6,569,463		Patel et al.	05-27-2003	
		2001/0012847	A1	Lam et al.	09-09-2001	
		6,294,192		Patel et al.	09-25-2001	
		5,906,832		Jao et al.	05-25-1999	
		5,633,011		Dong et al.	05-27-1997	
		5,620,705		Dong et al.	04-15-1997	
		5,262,171		Login et al.	11-16-1993	
		2002/0044960	A1	Cherukuri	04-18-2002	
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		Office ⁴	Number ⁴	Kind Code ⁵				
PA		WO	01/37808		Patel et al.	05-31-2001		
		WO	01/12155		Patel et al.	02-22-2001		
		WO	98/23263		Homel et al.	06-04-1998		
		WO	00/00179		Beom et al.	01-06-2000		
		WO	99/63971		Tallauakhala	12-16-1999		
		WO	95/06460		Alza Corp.	03-09-1995		
MB		WO	04/00244 7	A1	Edgren et al.	01-08-2004		

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		Number	Kind Code ² (if known)			
R		2003/0185882	A1	Vergez et al.	10-02-2003	
		2003/0228358	A1	Perlman et al.	12-11-2003	
		2004/01915291	A1	Edgren et al.	05-13-2004	
		2002/0022245	A1	Hebebrand et al.	02-21-2002	
		2003/0021841	A1	Matharu et al.	01-30-2003	
		2004/0053894	A1	Mazess et al.	03-18-2004	
		4,888,168		Potts et al.	12-19-1989	
		5,082,655		Snipes et al.	01-21-1992	
		5,817,321		Alakhov et al.	10-06-1998	
		6,562,375	B1	Sako et al.	05-13-2003	
R		2005/0053653	A1	Kidane et al.	03-10-2005	

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		Office ⁴	Number ⁵	Kind Code ⁶				
R		WO	02/44276	A2	Avila et al.	06-06-2002		
		WO	03/002151	A1	Massironi	01-09-2003		
		WO	03/004009	A1	Matharu et al.	01-16-2003		
		WO	03/011204	A2	Cherukuri	02-13-2003		
		WO	03/011205	A2	Cherukuri et al.	02-13-2003		
		WO	03/039519	A2	Vergez et al.	05-15-2003		
R		WO	03/063833	A1	Perlman et al.	08-07-2003		

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		WO	04/012711	A1	Tucker et al.	02-12-2004		
		WO	04/026231	A2	Mazess et al.	04-01-2004		
		WO	97/02017		Clancy et al.	01-23-1997		
		WO	99/40943		Bogue	08-19-1999		

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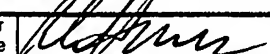
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PS		D. Edgren et al.	USSN 10/606575		
		I. Reyes et al.	USSN 10/910,040		
		N. Yam et al.	USSN 10/920,934		
		N. Modi et al.	USSN 10/930,917		
		D. Edgren et al.	USSN 11/024,329		
PS		D. Edgren et al.	USSN 11/024,330		
		D. Edgren et al.	USSN 11/024,378		

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